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CROMPTON SEAGER TUFTE

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P. 02



PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Jonathan D. Root et al.

Serial No.:

10/699,727

Examiner: Unknown

Filed:

November 3, 2003

Group Art Unit: Unknown

For:

PERCUTANEOUS CATHETER AND GUIDEWIRE FOR FILTERING

DURING ABLATION OF MYOCARDIAL OR VASCULAR TISSUE

Docket No.: 1001.1426103

CERTIFICATE UNDER 37 C.F.R. 1.06(d): The undersigned hereby certifies that this paper or papers, as described herein, are being facsimile transmitted to the U.S. Patent and Trademark Office, at Fax No. 703-872-9306 on this 26 day of

February, 2004.

lo Ann Lindmar

Dear Sirs:

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Under the provisions of 37 CFR §1.98(a)(2)(i), copies of the documents listed on the Form 1449 are not being submitted herewith.

Applicant respectfully requests that the Examiner consider the documents listed on the 1449 Form and indicate that they were considered by making appropriate notations on the attached 1449 form.

Dated: 166.24, 2004

Respectfully submitted,

Glenn M. Seager, Reg, No. 36,926

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FORM 1449*	Docket Number:	Application Number:		
INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION	1001.1426103	10/699,727		
	Applicant: Root et al.			
(Use several sheets if necessary)	Filing Date: November 3, 2003   Group Art Unit: Unknown			

			U.S. Patent Documents		·
Examiner Initial	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS
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	6,544,276	04/2003	Azizi		,
<del></del>	6,312,407	11/2001	Zadno-Azizi et al.		· · · · · · · · · · · · · · · · · · ·
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EXAMINER:	DATE CONSIDERED:		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.			